



## Our Marketing Channels

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Uniper Energy Storage offers storage capacities to all interested parties on a non-discriminatory and transparent basis in accordance with applicable statutory requirements. All storage capacities are allocated in accordance with the European Guidelines for Good Practice for Storage System Operators (GGPSSO) and the statutory requirements of the 3rd Energy Package, including, most notably, the German Energy Industry Act (EnWG) and European Regulation (EC) No. 715/2009.

The “General Terms and Conditions for Storage Services” (GTCS) form the basis for the use of storage services and are an integral part of any storage contract concluded with Uniper Energy Storage. Condition for a contract conclusion is a satisfactory creditworthiness of the customer.

## **Booking Requirements**

### **Registration and Licensing in UST Storage Portal**

Uniper Energy Storage publishes information about specific storage facilities, their technical data and bookable storage capacities in the storage portal. All relevant information regarding the conclusion of a storage contract can be found in the storage portal and on our website. Also, you may raise a request to Uniper Energy Storage, also without being registered.

Some functions of our storage portal will only be available to registered users. To register, please go to the "Register" button and enter the requested data about you and your company. You will immediately receive a confirmation e-mail which enables you to set your individual password. Uniper Energy Storage will create a personal profile as a “Follower” for you in “My Account”, which entitles you to receive the regular Uniper Energy Storage newsletter, among other features.

If you wish to participate in auctions or in our short term trading with storage capacities on our storage portal, you will furthermore need to be licensed. Licensing is only open to natural persons who are authorized to act on behalf of a company that is entered in the company’s trade register or comparable foreign register.

To request a licensing please log in on the storage portal and use the licensing form which can be downloaded in the “My Account”-area. Please duly fill in and sign the form and send it to Uniper Energy Storage together with an excerpt of your company’s trade register or comparable foreign register. Once all licensing conditions are met, licensing will take place within five working days. Uniper Energy Storage will send a confirmation e-mail to the licensed person. Depending on the extent of the authorization which you have been given by your company, you will gain access with either read-only rights or booking rights. The different user types are defined as follows:



**Follower:** After successful registration in the storage portal the user receives a Follower status. A Follower is able to login to the “My Account” area and view general company data.

**Basic User:** After a successful licensing with reading rights the Basic User is able to view all company data, for instance, contractual documents, bids for auctions, offers and requests for Day Ahead Products.

**Advanced User:** An Advanced User is furthermore able to participate in storage auctions and take part at the short term trading of Day Ahead Products.

### Credit screening procedure

Uniper Energy Storage normally grants its storage customers an individual unsecured credit limit.

The unsecured credit limit is determined by using the credit screening procedure described below, which is based on a standard procedure applied in Germany.

For this purpose, Uniper Energy Storage uses publicly available information from various credit and rating agencies such as Creditreform, Standards & Poors, Moody's, Bureau van Dijk and Fitch.

In addition, the storage customer undertakes to provide Uniper Energy Storage, upon request, with additional information as required to determine its creditworthiness. The storage customer must report any changes, which have a substantial impact on its credit rating, including but not limited to the termination of any profit and loss transfer agreement as provided in Section 291 of the German Stock Corporation Act (AktG).

If the storage customer is a natural person, it shall give its consent to Uniper Energy Storage obtaining credit information from SCHUFA, the German credit reference agency. In addition, it shall provide proof of income for the last three months.

Based on the credit information of the rating agencies the unsecured credit limit will be evaluated by Uniper Energy Storage. If the individual unsecured credit limit is below the pro-rata fee for the storage booking timeframe, the customer is committed to furnish a security in accordance with Article 16a (4) of the Uniper Energy Storage GTCS.

The pro-rata fee for the storage booking timeframe amounts

- a)  $2/12^{\text{th}}$  of the annual storage fee, including, in each case, the system services fee pursuant to Article 3 of the GTCS if the booking period is at least one year,
- b) two monthly fees including, in each case, the system services fee pursuant to Article 3 of the GTCS if the booking period is more than three months and less than one year,



- c) one monthly fee including the system services fee pursuant to Article 3 of the GTCS if the booking period is between one month and three months.

In the above cases, the storage customer shall furnish a security in accordance with Article 16a (4) of the GTCS for the difference between the storage fee and the individual, unsecured credit limit. For booked Day Ahead capacities, a sufficient unsecured credit limit in the amount of the total fee or a corresponding security in accordance with Article 16a Abs. 4 of the GTCS shall be required in any case.

If there is a profit and loss transfer agreement between the storage customer and another company, the storage customer may request that the rating and the equity capital of said other company be used for the assessment. This will require the customer to provide Uniper Energy Storage with adequate proof of the existence of the profit and loss transfer agreement. In addition, said other company shall provide Uniper Energy Storage with a 'Letter of Awareness' by which it undertakes to inform Uniper Energy Storage of any termination of said profit and loss transfer agreement no later than one month prior to any such termination. Upon receipt thereof, Uniper Energy Storage will, without delay, conduct another credit screening procedure for the storage customer as described above with all legal consequences this may entail.

## Auctions

Uniper Energy Storage regularly offers products via auctions. An overview of all published and current running auctions is available on the storage portal, where also all terms and contract documents are published for each auction. Auctions are visible on the storage portal for all registered users and non-registered visitors. Advanced Users are able to participate in the auction and make bids for storage capacities.

New auctions will furthermore be announced via newsletter.

## Requests via UST Storage Portal

Products, which are not offered in auctions, can be requested in our storage portal at any time. To get an overview of which storage capacities are available, you can find information on each single storage facility in the area "Transparency" in our storage portal. An overview of all products of Uniper Energy Storage is furthermore available in the "Product" area of the portal.

If no auction is announced, or if you are interested in a customized product, a bilateral contract conclusion is also feasible. Those are basically possible in every store. Please contact us at any time.



## **Short Term Trading with storage capacities (Day Ahead Products)**

The area “Day Ahead Products” of the storage portal allows all Uniper Energy Storage customers to trade short-term firm and interruptible unbundled withdrawal and injection capacity throughout the term of their storage contracts.

These withdrawal and injection rates can be traded at those storage facilities for which the customer has already concluded a storage contract. Customers can use our platform to trade secondary withdrawal and injection capacity with Uniper Energy Storage as well as directly with other storage customers in accordance with our General Terms and Conditions for Storage Services. The short term trading with storage capacities is limited for trading firm or interruptible unbundled injection and withdrawal capacities. Trading of unbundled working gas capacity or bundled storage capacities is not possible.

Offers can be posted for the next day or up to one month in advance. Offers posted and acceptance declarations submitted by bidders are legally binding. The content of the offer as well as contract billing and the terms of payment are in the sole responsibility of the offerer. The offer automatically ends at 16:00 hrs of the day which precedes the first day of the offer.

If a storage customer does not find an offer meeting his needs, he can post a search request. Such a request will be displayed immediately to all other storage customers. By clicking on a search request another storage customer can directly create a compatible offer.

Both the company placing offers/search requests and the price will be displayed to all storage customers with a running storage contract.

Uniper Energy Storage provides the trading platform in the storage portal and ensures immediate updating of the parties’ nomination authorizations. In consideration for this service, Uniper Energy Storage reserves the right to charge a transaction fee for every contract concluded via the platform in the future. If such fee shall be introduced, Uniper Energy Storage will inform all storage customers with a notice period of at least four weeks.

## **Secondary trading**

Uniper Energy Storage supports secondary trading of storage capacities. Our customers are free to resell their unused capacities in accordance with the GTCS to other market players in an anonymous, non-discriminatory and transparent way.